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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
|-----------------------------|-----------------|----------------------|---------------------|------------------|--|
| 10/809,636 | 03/24/2004 | Jun Feng | DPP-IV-5004-CI 7481 | | |
| | 7590 09/21/2007 | | EXAMINER | | |
| TAKEDA SAN 10410 SCIENCE | CE CENTER DRIVE | | HABTE, KAHSAY | | |
| SAN DIEGO, O | | | ART UNIT | PAPER NUMBER | |
| | | | 1624 | | |
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| | | | MAIL DATE | DELIVERY MODE | |
| | | | 09/21/2007 | PAPER | |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Advisory Action Before the Filing of an Appeal Brief

| Application No. | Applicant(s) | | |
|-----------------|--------------|--|--|
| 10/809,636 | FENG ET AL. | | |
| Examiner | Art Unit | | |
| Kahsay Habte | 1624 | | |

| | Kahsay Habte | 1624 | | | | | |
|--|--|---|--------------------------------|--|--|--|--|
| The MAILING DATE of this communication appe | ars on the cover sheet with the c | orrespondence add | ress | | | | |
| THE REPLY FILED <u>19 July 2007</u> FAILS TO PLACE THIS APPI | LICATION IN CONDITION FOR AL | LOWANCE. | | | | | |
| 1. The reply was filed after a final rejection, but prior to or or this application, applicant must timely file one of the follow places the application in condition for allowance; (2) a Not a Request for Continued Examination (RCE) in compliant time periods: | wing replies: (1) an amendment, aff stice of Appeal (with appeal fee) in c | idavit, or other evider compliance with 37 C | nce, which FR 41.31; or (3) | | | | |
| | a) The period for reply expires 6 months from the mailing date of the final rejection. | | | | | | |
| b) The period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection. | | | | | | | |
| Examiner Note: If box 1 is checked, check either box (a) or (b). ONLY CHECK BOX (b) WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f). | | | | | | | |
| Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). | | | | | | | |
| 2. The Notice of Appeal was filed on 31 July 2007. A brief i | n compliance with 37 CFR 41.37 m | ust be filed within two | months of the | | | | |
| date of filing the Notice of Appeal (37 CFR 41.37(a)), or a appeal. Since a Notice of Appeal has been filed, any repl | any extension thereof (37 CFR 41.3 | 7(e)), to avoid dismis | sal of the | | | | |
| 3. The proposed amendment(s) filed after a final rejection, | but prior to the date of filing a brief, | , will <u>not</u> be entered b | ecause | | | | |
| (a) They raise new issues that would require further co | | TE below); | | | | | |
| (b) They raise the issue of new matter (see NOTE below | | | | | | | |
| (c) They are not deemed to place the application in be appeal; and/or | | | the issues for | | | | |
| (d) They present additional claims without canceling a | | ected claims. | • | | | | |
| NOTE: <u>See memo</u> . (See 37 CFR 1.116 and 41.33 | | ! | (DTOL 004) | | | | |
| The amendments are not in compliance with 37 CFR 1.121. See attached Notice of Non-Compliant Amendment (PTOL-324). | | | | | | | |
| Applicant's reply has overcome the following rejection(s): second paragraph rejection items 5a-5c. Newly proposed or amended claim(s) would be allowable if submitted in a separate, timely filed amendment canceling the | | | | | | | |
| non-allowable claim(s). | · | - | _ | | | | |
| 7. For purposes of appeal, the proposed amendment(s): a) how the new or amended claims would be rejected is pro The status of the claim(s) is (or will be) as follows: Claim(s) allowed: | | II be entered and an e | explanation of | | | | |
| Claim(s) allowed Claim(s) objected to: | | | | | | | |
| Claim(s) rejected: 1,3-15,19-21,23,26-31,33,36,42,43 and | <u>d 55-61</u> . | | | | | | |
| Claim(s) withdrawn from consideration: 37-41,44-54 and | <u>62</u> . | | | | | | |
| AFFIDAVIT OR OTHER EVIDENCE | | | | | | | |
| The affidavit or other evidence filed after a final action, be because applicant failed to provide a showing of good an was not earlier presented. See 37 CFR 1.116(e). | d sufficient reasons why the affiday | vit or other evidence is | s necessary and | | | | |
| 9. The affidavit or other evidence filed after the date of filing entered because the affidavit or other evidence failed to showing a good and sufficient reasons why it is necessar | overcome <u>all</u> rejections under appe | al and/or appellant fa | ils to provide a | | | | |
| IO. ☐ The affidavit or other evidence is entered. An explanation of the status of the claims after entry is below or attached. REQUEST FOR RECONSIDERATION/OTHER | | | | | | | |
| 11. The request for reconsideration has been considered but | ut does NOT place the application in | n condition for allowa | nce because: | | | | |
| 12. ☑ Note the attached Information Disclosure Statement(s). 13. ☐ Other: | (PTO/SB/08) Paper No(s). <u>5/24/20</u> | <u>07</u> | | | | | |
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ADVISORY ACTION

1. The amendment filed 7/31/2007 under 37 CFR 1.116 in reply to the final rejection will be entered upon the filing of an appeal, but is not deemed to place the application in condition for allowance and will not be entered because:

- a. Applicant's amendment to claim 42 raises new issues that need further rejection. The recitation of "alicyclic" and "aliphatic" would raise a new ground of rejection.
- 2. If the amendment were to be entered, applicants would have overcome the second paragraph issues in items 5a-5c.
- 3. The obviousness-type double patenting rejection over copending application 10/809,635 still remains rejected. Applicants intend to address the rejection when one or both of the applications are otherwise in condition for allowance.
- 4. Note that the examiner objects the recitation of "carbonyl" and "thio" in claims 7, 11, 42, 55, 59 and 61. Carbonyl (CO) a substituent would have a dangling valency.

 Oxo (=O) is used as a substituent and not carbonyl.

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Information Disclosure Statement

5. Applicant's Information Disclosure Statement, filed on 05/24/2007 has been acknowledged. Please refer to Applicant's copies of the 1449 submitted herewith.

The period for reply continues to run 3 MONTHS from the date of the final rejection. Any extension of time must be obtained by filing a petition under 37 CFR 1.136(a) accompanied by the appropriate fee. The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. A reply within the meaning of 37 CFR 1.113 or a request for a continued examination (RCE) in compliance with 37 CFR 1.114 must be timely filed to avoid abandonment of this application.

Conclusion

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kahsay Habte whose telephone number is (571)-272-0667. The examiner can normally be reached on M-F (9.00-5:30).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James O. Wilson can be reached at (571) 272-0661. The fax phone number for the organization where this application or proceeding is assigned is (571)-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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Kahsay Habte Primary Examiner

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September 19, 2007